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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

1657 US F

First Named Inventor

Park, et al.

**COMPLETE IF KNOWN**

Application Number

09 / 581,952

Filing Date

16 June 2000

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AMINOBIguanIDES AND THE USE THEREOF TO DISINFECT CONTACT  
LENSES AND PRESERVE PHARMACEUTICAL COMPOSITIONS**

the specification of which

(Title of the Invention)

☐ is attached hereto  
OR

☒ was filed on (MM/DD/YYYY) **12/18/1998** as United States Application Number or PCT International

Application Number **PCT/US98/27332** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/068,330	12/19/1997	

[Page 1 of 2]

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/US98/27332	12/18/98	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  →

Place Customer  
Number Bar Code  
Label here

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
ARNO, James A.	26,145	SHIRA, Jeffrey S.	34,922
BROWN, Gregg C.	30,613	RYAN, Patrick M.	36,263
YEAGER, Sally	32,757	MAYO, Michael C.	38,545
COPELAND, Barry	34,801	LEE, W. David	39,743

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number  OR ☒ Correspondence address below

Name	Gregg C. Brown				
Address	Alcon Laboratories, Inc.				
Address	6201 South Freeway, Mail Code Q-148				
City	Fort Worth	State	TX	ZIP	76134-2099
Country	US	Telephone	(817) 551-8663	Fax	(817) 551-4610

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:**  ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))			Family Name or Surname		
Joonsup			Park		
Inventor's Signature				Date	7/4/00
Residence: City	Arlington	State	TX	Country	US
Post Office Address	3604 Lake Pontchartrain Drive				
Post Office Address					
City	Arlington	State	TX	ZIP	76016
				Country	US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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PTO/SB/02A (3-97)  
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
--------------------	---

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Nissanke L.				Dassanayake			
Inventor's Signature	<i>Dassanayake</i>					Date	07/11/00
Residence: City	Arlington	State	TX	Country	US	Citizenship	Sri Lanka
Post Office Address	1106A Hollis Street						
Post Office Address							
City	Arlington	State	TX	ZIP	76013	Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Nathaniel D.				McQueen			
Inventor's Signature	<i>Nathaniel D. McQueen</i>					Date	10 Jul 00
Residence: City	Arlington	State	TX	Country	US	Citizenship	US
Post Office Address	3808 Firethorn Drive						
Post Office Address							
City	Arlington	State	TX	ZIP	76017	Country	US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Ronald L.				Schlitzer			
Inventor's Signature	<i>Ronald L. Schlitzer</i>					Date	7/19/2000
Residence: City	Fort Worth	State	TX	Country	US	Citizenship	US
Post Office Address	3421 Ashford Avenue						
Post Office Address							
City	Fort Worth	State	TX	ZIP	76133	Country	US

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Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 18, 2001

PTAS



\*101681056A\*

ALCON RESEARCH, LTD.  
JEFFREY S. SCHIRA  
R&D LEGAL COUNSEL (Q-148)  
6201 SOUTH FREEWAY  
FORT WORTH, TX 76134

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 03/26/2001

REEL/FRAME: 011667/0559

NUMBER OF PAGES: 13

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ALCON LABORATORIES, INC.

DOC DATE: 03/22/2001

ASSIGNEE:

ALCON MANUFACTURING, LTD.  
6201 SOUTH FREEWAY  
FORT WORTH, TEXAS 76134-2099

SERIAL NUMBER: 07669496  
PATENT NUMBER:

FILING DATE: 03/14/1991  
ISSUE DATE:

SERIAL NUMBER: 08091509  
PATENT NUMBER:

FILING DATE: 07/14/1993  
ISSUE DATE:

SERIAL NUMBER: 08156043  
PATENT NUMBER:

FILING DATE: 11/23/1993  
ISSUE DATE:

SERIAL NUMBER: 08405467  
PATENT NUMBER:

FILING DATE: 03/16/1995  
ISSUE DATE:

SERIAL NUMBER: 09577262  
PATENT NUMBER:

FILING DATE: 05/19/2000  
ISSUE DATE:

SERIAL NUMBER: 09581687  
PATENT NUMBER: 6242441

FILING DATE: 06/15/2000  
ISSUE DATE: 06/05/2001

SERIAL NUMBER: 09581952  
PATENT NUMBER:

FILING DATE: 08/03/2000  
ISSUE DATE:

SERIAL NUMBER: 09582110  
PATENT NUMBER:

FILING DATE: 07/15/2000  
ISSUE DATE:

SERIAL NUMBER: 09582111  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

SERIAL NUMBER: 09582112  
PATENT NUMBER:

FILING DATE: 07/20/2000  
ISSUE DATE:

SERIAL NUMBER: 09582113  
PATENT NUMBER:

FILING DATE: 07/20/2000  
ISSUE DATE:

SERIAL NUMBER: 09582114  
PATENT NUMBER:

FILING DATE: 07/20/2000  
ISSUE DATE:

SERIAL NUMBER: 09582115  
PATENT NUMBER:

FILING DATE: 07/20/2000  
ISSUE DATE:

SERIAL NUMBER: 09597310  
PATENT NUMBER:

FILING DATE: 06/20/2000  
ISSUE DATE:

SERIAL NUMBER: 09601634  
PATENT NUMBER: 6242442

FILING DATE: 08/04/2000  
ISSUE DATE: 06/05/2001

SERIAL NUMBER: 09604048  
PATENT NUMBER:

FILING DATE: 06/27/2000  
ISSUE DATE:

SERIAL NUMBER: 09605263  
PATENT NUMBER:

FILING DATE: 06/28/2000  
ISSUE DATE:

SERIAL NUMBER: 09633502  
PATENT NUMBER:

FILING DATE: 08/07/2000  
ISSUE DATE:

SERIAL NUMBER: 09636409  
PATENT NUMBER:

FILING DATE: 08/10/2000  
ISSUE DATE:

SERIAL NUMBER: 09645465  
PATENT NUMBER:

FILING DATE: 08/23/2000  
ISSUE DATE:

SERIAL NUMBER: 09646560  
PATENT NUMBER:

FILING DATE: 09/19/2000  
ISSUE DATE:

SERIAL NUMBER: 09646676  
PATENT NUMBER:

FILING DATE: 09/19/2000  
ISSUE DATE:

# 1657 US F



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 30, 2000

ALCON LABORATORIES, INC.  
GREGG C. BROWN  
R&D COUNSEL Q-148  
6201 SOUTH FREEWAY  
FORT WORTH, TX 76134-2099

PTAS

**RECEIVED**



\*101484901A\*

DEC 14 2000

**R & D COUNSEL**

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RECORDATION DATE: 08/17/2000

REEL/FRAME: 011135/0577  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PARK, JOONSUP

DOC DATE: 04/19/2000

ASSIGNOR:

DASSANAYAKE, NISSANKE L.

DOC DATE: 04/19/2000

ASSIGNOR:

MCQUEEN, NATHANIEL D.

DOC DATE: 04/19/2000

ASSIGNOR:

SCHLITZER, RONALD L.

DOC DATE: 04/19/2000

ASSIGNEE:

ALCON LABORATORIES, INC.  
R&D COUNSEL Q-148  
6201 SOUTH FREEWAY  
FORT WORTH, TEXAS 76134-2099

SERIAL NUMBER: 09581952

FILING DATE: 08/03/2000

PATENT NUMBER:

ISSUE DATE:

011135/0577 PAGE 2

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10-11-2000



101484901

FORM PTO-1595  
1-31-92RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Joonsup Park, Nissanke L. Dassanayake, Nathaniel D. McQueen, and  
Ronald L. SchlitzerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

Execution Date: April 19, 2000

2. Name and address of receiving party(ies):

Name: Alcon Laboratories, Inc.Internal Address: R&D Counsel Q-148Street Address: 6201 South FreewayCity: Fort Worth State: TX ZIP: 76134-2099Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/581,952

Title: Aminobiguanides And The Use Thereof To Disinfect Contact Lenses And  
Preserve Pharmaceutical CompositionsAttorney Docket No. 1657 US F

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence concerning  
document should be mailed:Name: Gregg C. BrownInternal Address: R&D Counsel Q-148ALCON LABORATORIES, INC.Street Address: 6201 South FreewayCity: Fort Worth State: TX ZIP: 76134-20996. Total number of applications and patents involved:  
one (1)7. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

01-0682

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of  
the original document.Gregg C. Brown Reg. No. 30,613

Name of Person Signing

Gregg C. Brown 8/15/00  
 Signature Date

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01 FC:581 40.00 CH

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CMB No. 0651-0011 (exp. 4/94)

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Box Assignments  
Washington, D.C. 20231

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## ASSIGNMENT

WHEREAS I am the below named joint inventor of the invention entitled:

**“Aminobiguanides and the Use Thereof to Disinfect Contact Lenses and Preserve  
Pharmaceutical Compositions”**

and described in a United States Patent Application filed with the United States Patent and Trademark Office on June 16, 2000, and further identified by Attorney Docket No. 1657 US F; and

WHEREAS, ALCON LABORATORIES, INC., a company organized under the laws of Delaware and having a place of business at 6201 South Freeway, Fort Worth, Texas, 76134-2099, is desirous of acquiring the entire right, title and interest in and to said invention and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign and transfer to ALCON LABORATORIES, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include my cooperation in all prosecution associated with obtaining such applications or patents and my provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the

foregoing covenant and agreement shall bind, and insure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON LABORATORIES, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in said patent and the invention covered thereby.

Full name of joint inventor:

Nissanke L. Dassanayake

Address:

1106A Hollis Street  
Arlington, Texas 76013 USA

Inventor's signature:

*Dassanayake*

Date:

04/19/00

STATE OF TEXAS       §  
                                  §  
COUNTY OF TARRANT   §

On this 19<sup>th</sup> day of April, 2000, before me personally appeared Nissanke L. Dassanayake to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

*Kelly J. Woody*  
Notary Public



Full name of joint inventor:

Nathaniel D. McQueen

Address:

3808 Firethorn Drive

Arlington, Texas 76017 USA

Inventor's signature:

*Nathaniel D. McQueen*

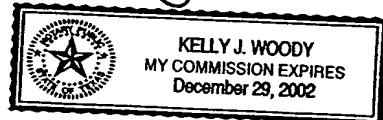
Date:

19 APR 00

STATE OF TEXAS       §  
                                  §  
COUNTY OF TARRANT   §

On this 19<sup>th</sup> day of April, 2000, before me personally appeared Nathaniel D. McQueen to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

*Kelly J. Woody*  
Notary Public



Full name of joint inventor:

Ronald L. Schlitzer

Address:

3421 Ashford Avenue

Fort Worth, Texas 76133 USA

Inventor's signature:

Ronald L. Schlitzer

Date:

4/19/2000

STATE OF TEXAS       §  
                                  §  
COUNTY OF TARRANT   §

On this 19th day of April, 2000, before me personally appeared Ronald L. Schlitzer to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

Kelly J. Woody  
Notary Public



Full name of joint inventor:

Joonsup Park

Address:

3604 Lake Pontchartrain Drive  
Arlington, Texas 76016 USA

Inventor's signature:

Joonsup Park

Date:

6/11, 2000

On this \_\_\_\_\_ day of \_\_\_\_\_, 2000, before me personally appeared Joonsup Park to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and purposes therein set forth.

\_\_\_\_\_  
Notary Public